

# High harmonic generations triggered by the intense laser field in GaAs/ $\text{Al}_x\text{Ga}_{1-x}\text{As}$ honeycomb quantum well wires

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## ARTICLE INFO

### PACS:

78.67.De

73.90.+f

73.21.Fg

### Keywords:

Honeycomb quantum well wire

GaAs

AlGaAs

NOR

SHG

THG

Intense laser field

## ABSTRACT

Under constant electric and magnetic fields, the potential profile of the honeycomb quantum well wire (HQWW) is studied for varying intense laser fields to trigger and optimize high harmonics (nonlinear optical rectification, second and third harmonic generation coefficients). The finite element method has been used to simulate wavefunctions and their corresponding energy levels under effective mass approximation. We have shown that an intense laser field reshapes the potential profile of the HQWW, and this results in maximum and minimum for dipole moment matrix elements and energy differences. The increase and decrease of energy differences create blue and red shifts in the optical spectrum. In the end, we have calculated nonlinear optical rectification as  $11.1 \times 10^{-5}$  m/V. The second harmonic generation coefficient is found as  $18.4 \times 10^{-7}$  m/V which is three times and a lot bigger than bulk GaAs and GaAs/AlGaAs superlattice. The third harmonic generation coefficient is calculated as  $6.2 \times 10^{-15}$  m<sup>2</sup>/V<sup>2</sup>. As a conclusion, we have shown that HQWW can be used in harmonic generation-based optical devices.

## 1. Introduction

Over the last three decades, semiconductor devices have shown extraordinarily high performances due to the deep understanding of their structures. The remarkable advancement in the experimentally grown low dimensional quantum well-based technologies results in low-cost and efficient devices [1–4]. Especially, in the field of photodiode and laser research, important progress has been made [5–7].

However, studies about two and three-dimensional systems are still in progress due to experimental difficulties about the production and complex physics behind them [8–12]. Particularly, complex second-order differential equations and computational problems have increased the difficulty of theoretical analysis [13–17]. This causes new perspectives to analyze multi-dimensional systems like reducing to one-dimension which does not support comprehensive knowledge.

Up to now, optical properties of two-dimensional systems have been considered for different structures [18,19]. Barseghyan et al. has focused on the absorption properties of the GaAs/ $\text{Ga}_{1-x}\text{Al}_x\text{As}$  cylindrical quantum well wire (QWW) [20]. The binding energy and photoionization

cross-section of the impurity in QWW in electric and magnetic fields has been analyzed by Mughnetsyan et al. [21]. Optical rectification (OR) is studied for laterally coupled  $\text{Al}_x\text{Ga}_{1-x}\text{As}/\text{GaAs}$  QWW by Liu et al. [22]. The second and third harmonics are calculated for quantum ring by Duque et al. [23]. Nonlinear optical properties of QWW and quantum dot (QD) have been simulated for donor impurity states under external fields [24–26]. Terahertz laser field manipulation on the electronic and nonlinear optical properties of laterally coupled QWWs for a 40% Aluminum containing barrier has been investigated by Liu et al. also [27]. Anisotropic optical absorption in QWWs induced by high-frequency laser field has been deeply studied by Niculescu et al. [28]. They have shown variations of the total optical absorption coefficient and refraction coefficients under a strong laser field. The effect of the magnetic field on the linear and nonlinear optical properties of coaxial QWWs has been examined by Karimi et al. [29]. THz laser field effect on the optical properties of cylindrical QWWs has been shown by Burileanu and Radu [30]. Impurity-related optical properties of the QWW have been discussed by Montes et al. [31]. Binding energy and polarizability in GaAs QWW have been investigated by Duque et al.

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<https://doi.org/10.1016/j.mtphys.2023.101232>

Received 19 July 2023; Received in revised form 8 September 2023; Accepted 11 September 2023

Available online 13 September 2023

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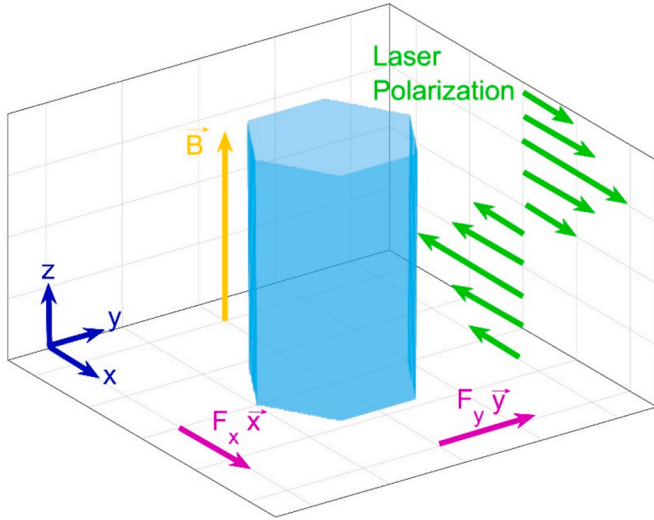


Fig. 1. Schematic cross-section of GaAs/Al<sub>x</sub>Ga<sub>1-x</sub>As HQWW and direction of external fields.

[32].

In this paper, we have focused on the high harmonic generations (HHGs) apart from the existing studies in the literature. We have studied nonlinear optical rectification (NOR), second harmonic generation (SHG), and third harmonic generation (THG) coefficients of the GaAs/Al<sub>x</sub>Ga<sub>1-x</sub>As honeycomb quantum well wire (HQWW) for the first time to our knowledge in the literature. A varying intense laser field (ILF) has been used to trigger high harmonics for the constantly applied electric field (EF) and magnetic field (MF). The paper contains three parts: i) In section II, we have given theoretical aspects of the HQWW, ii) In section III, we have discussed the computational results, iii) we have finished the paper with the conclusion in section IV.

## 2. Theory

The time-independent Hamiltonian of the two-dimensional GaAs/AlGaAs Hexagonal Quantum Well Wire (HQWW) heterojunction is given in Eq. (1),

$$H = \frac{1}{2m^*} (\vec{P} + e \vec{A}(\vec{r}))^2 + e \vec{F}(\vec{r}) \cdot \vec{r} + V(\vec{r}) \quad (1)$$

Development of Eq. (2) from Eq. (1) is well described in references [33,34]. The two-dimensional Schrödinger equation is a reduced form of three dimensional one [35,36]:

$$\left( -\frac{\hbar^2}{2m^*} \left( \frac{d^2}{dx^2} + \frac{d^2}{dy^2} \right) + \frac{e^2 B^2}{2m^*} (x^2 + y^2) + e \vec{F}(x, y) \cdot \vec{r}(x, y) + V(x, y) \right) \psi(x, y) = E \psi(x, y) \quad (2)$$

Where,  $m^* (= 0.067m_0)$  [37,38] describes the effective mass of the electron and  $m_0$  is the free electron mass,  $e$  is the electron charge,  $\vec{P}$  is momentum operator, and  $V(\vec{r})$  is the three-dimensional potential. The magnetic field's vector-potential  $\vec{A}$  is taken as  $\vec{A}(\vec{r}) = (-By, Bx, 0)$  yielding  $\vec{B} = (0, 0, B)$  [39].  $\psi(x, y)$  is the two-dimensional wavefunction for  $E$  energy eigenvalues. The electric field is described as  $\vec{F}(x, y) = F_x \vec{x} + F_y \vec{y}$  in two-dimension,  $\vec{r}(x, y)$  is the displacement vector, the second-order differential is defined as;

$$\frac{d^2}{dx^2} + \frac{d^2}{dy^2} = -2 \text{diag}(\text{ones}(1, N_x N_y)) + \text{diag}(C_{xy}, -N_y) + \text{diag}(C_{xy}, N_y) \quad (3)$$

here  $C_{xy} = \text{ones}(1, (N_x - 1)N_y)$ ,  $N_x$  and  $N_y$  are the length of the matrices to define the QWW region and  $V(x, y)$  is the confinement potential,

$$V(x, y) = V(y) + \frac{\Omega}{2\pi} \int_0^{2\pi/\Omega} V(x + \alpha_0 \sin \Omega t) dt \quad (4)$$

where  $\alpha_0$  is the laser-dressing parameter [40,41] and  $\Omega$  is the laser frequency [42]. To solve Eq. (2), diagonalization is used in two-dimension. After obtaining the energy levels and wave functions, NOR, SHG, and THG coefficients are calculated as follows [42–44]:

$$\chi_0^{(2)} = \frac{4e^3 \sigma_v}{\epsilon_0} M_{21}^2 \delta_{21} \frac{E_{21}^2 \left(1 + \frac{\Gamma_1}{\Gamma_1}\right) + \hbar^2 (\omega^2 + \Gamma_2^2) \left(\frac{\Gamma_1}{\Gamma_1} - 1\right)}{\left((E_{21} - \hbar\omega)^2 + (\hbar\Gamma_2)^2\right) \left((E_{21} + \hbar\omega)^2 + (\hbar\Gamma_2)^2\right)} \quad (5)$$

$$\chi_{2\omega}^{(2)} = \frac{e^3 \sigma_v}{\epsilon_0} \frac{M_{21} M_{32} M_{31}}{(\hbar\omega - E_{21} - i\hbar\Gamma_3)(2\hbar\omega - E_{31} - i\hbar\Gamma_3/2)} \quad (6)$$

$$\chi_{3\omega}^{(3)} = \frac{e^4 \sigma_v}{\epsilon_0} \frac{M_{21} M_{32} M_{43} M_{41}}{(\hbar\omega - E_{21} - i\hbar\Gamma_3)(2\hbar\omega - E_{31} - i\hbar\Gamma_3/2)(3\hbar\omega - E_{41} - i\hbar\Gamma_3/3)} \quad (7)$$

Here ( $E_{fi} = E_f - E_i = \hbar\omega_{fi}$ ),  $E_f$  and  $E_i$  are the final and initial energy levels,  $\epsilon_0$  is the vacuum permittivity,  $\sigma_v$  is carrier density. ( $M_{ji} = \langle \psi_j(x, y) | x | \psi_i(x, y) \rangle$ ) describes the intersubband dipole moment matrix elements (DMMEs) and  $\delta_{21}$  ( $\delta_{21} = M_{22} - M_{11}$ ) represents intra-subband DMME.

## 3. Result and discussion

HHG coefficients were calculated for GaAs/Al<sub>x</sub>Ga<sub>1-x</sub>As HQWWs whose schematic cross-section was given in Fig. 1. Honeycomb's base lengths were  $a = 10$  nm,  $\xi = (a\sqrt{3}/2)$  nm, and the height of the honeycomb was 228 meV which corresponds to  $x = 0.3$  Aluminum concentration [4,28,37],  $\sigma_v = 5 \times 10^{23} \text{ m}^{-3}$ , and  $\tau_k \left( = \frac{1}{\Gamma_k} \right) = (k = 1, 2, 3)$  are 1, 0.2, and 0.5 ps [45], respectively. ILF values are going to be given in  $\xi$  values now.

Variations of the potential profiles of HQWW with varying ILF for constant EF and MF are shown in Fig. 2. We have aimed to trigger and optimize HHGs. To do that we have simulated the potential profile at first without external fields (Fig. 2a). EF in x and y-directions are applied and it is seen that HQWW is tilted with the effect of EF applied through x and y-directions (Fig. 2b). Then, MF is applied to increase confinement of the carrier as given in Fig. 2c. The varying ILF through x-polarized has been applied for different  $\xi$  values (Fig. 2d–f). It is observed that the bottom of the HQWW starts shrinking up to  $\xi$  which corresponds to the center point of the honeycomb, then further increase in the ILF value causes structural deformation in HQWW. Symmetry of the hexagon is loosed out because of external fields and HQWW turns into two-quantum well wires for bigger  $\xi$  values. The change in QWW is consistent with the result of Niculescu et al. for cylindrical QWW [28].

In Fig. 3, square wave functions (SWFs) of the first four bounded states are plotted without (with) external fields. SWFs of the first four bounded states are shown without external fields in Fig. 3a. All states localize at the center of the HQWW. The distribution of the ground state occurs over a wide area. As a result of hexagonal symmetry, the second and third states have degeneracy and only differ in localization direction through the x and y-axis respectively. As expected, the fourth state is homogeneously distributed in the center. The ground state distribution happens in smaller areas with higher SWF intensity for  $F_x = 30$  kV/cm and  $F_y = 30$  kV/cm in Fig. 3b. The EF causes broken symmetry and degeneracy is lost between the second and third states. In addition to that, the localization position of the second and third states rotates through the x and y-axis respectively. Due to the tilting effect of the EF, the localization density of the fourth state slightly increases toward the tilted edge of the HQWW. The MF has been applied additionally to the

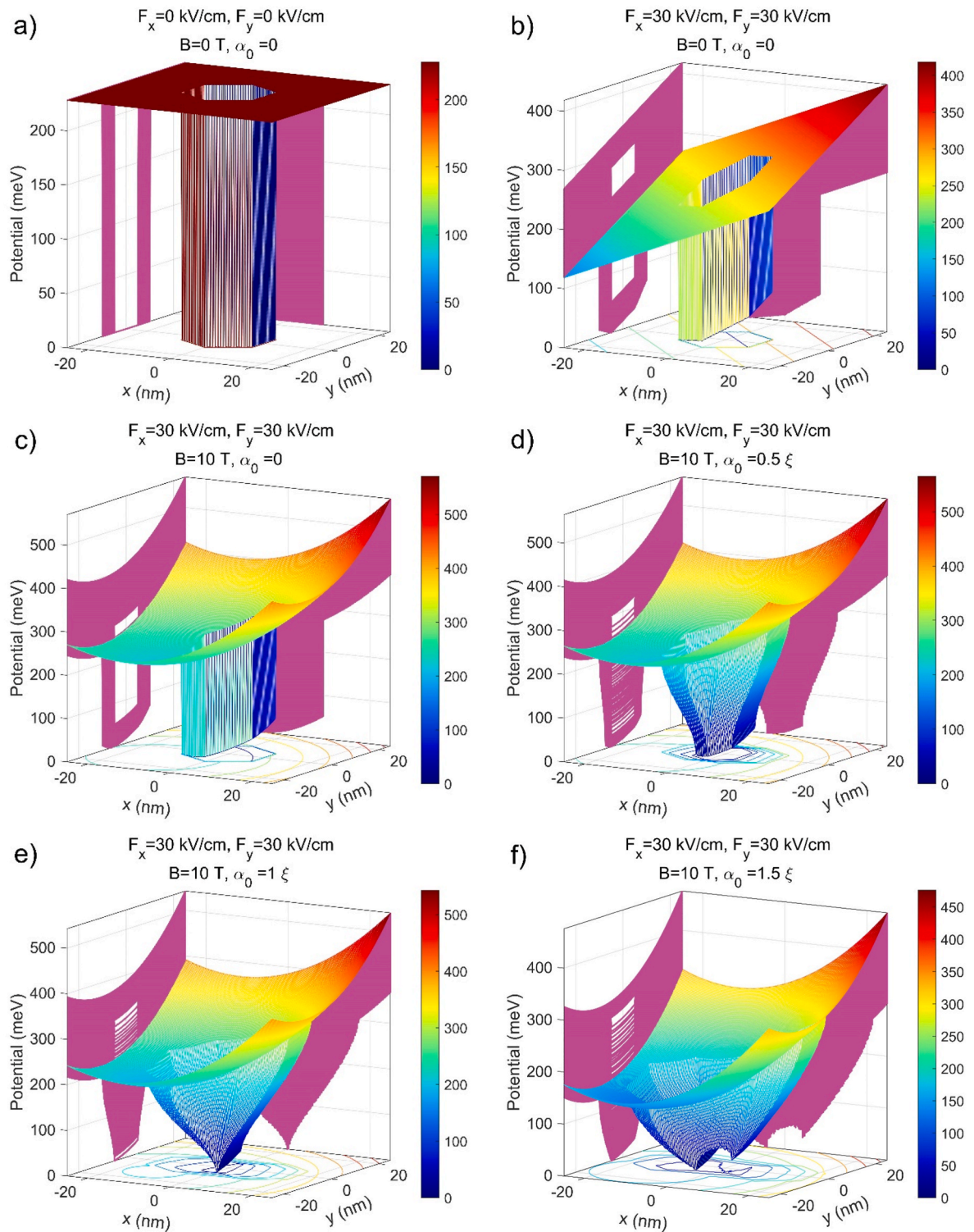


Fig. 2. The potential profiles of GaAs/Al<sub>x</sub>Ga<sub>1-x</sub>As HQWW for varying ILF under constant EF and MF.

EF as shown in Fig. 3c to increase confinement potential. It is seen that the MF has a minor effect on the localization of all states. In Fig. 3d–f, x-polarization varying ILFs with  $\xi$  have been applied to the HQWW for  $F_x = 30$  kV/cm,  $F_y = 30$  kV/cm and  $B = 10$  T. The ground state localization turns into Gaussian distribution with a higher peak for  $\alpha_0 = 0.5\xi$ . The ILF shrinks the base of the HQWW and this results in localization of the second state through the x-axis with higher probability. The

same effect is observed for the third state and SWF positions are pushed to be distributed through the y-axis. The localization distribution of the fourth state is less affected than other states. The ground state distribution reaches the highest peak owing to the complete shrinking of the HQWW at the x-axis for  $\alpha_0 = \xi$ . The localizations of the second (third) state are pushed in negative and positive directions with lower peaks. The major change is observed for the fourth state, the top of the HQWW becomes wider with higher ILF, and this results in different localization.



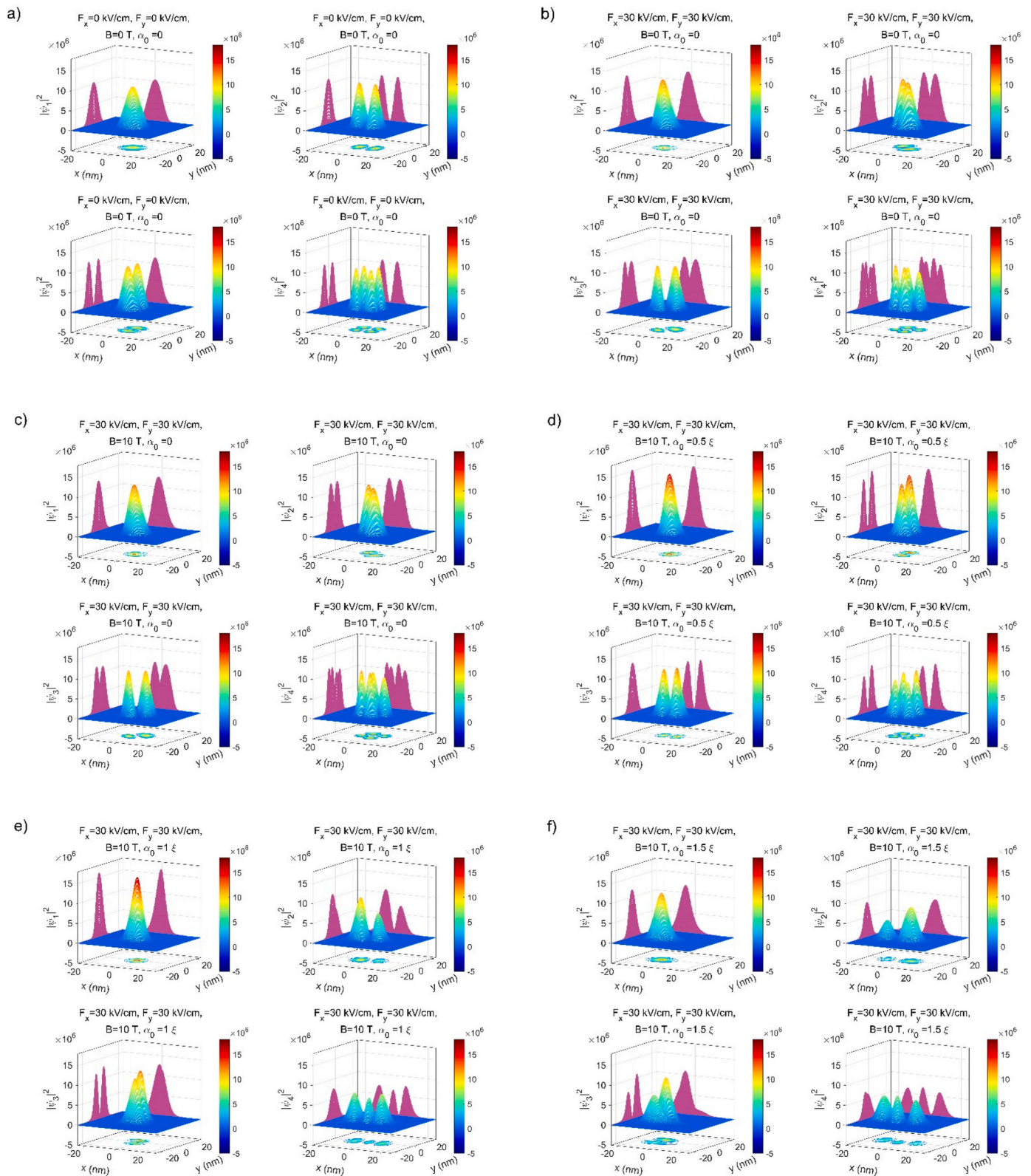
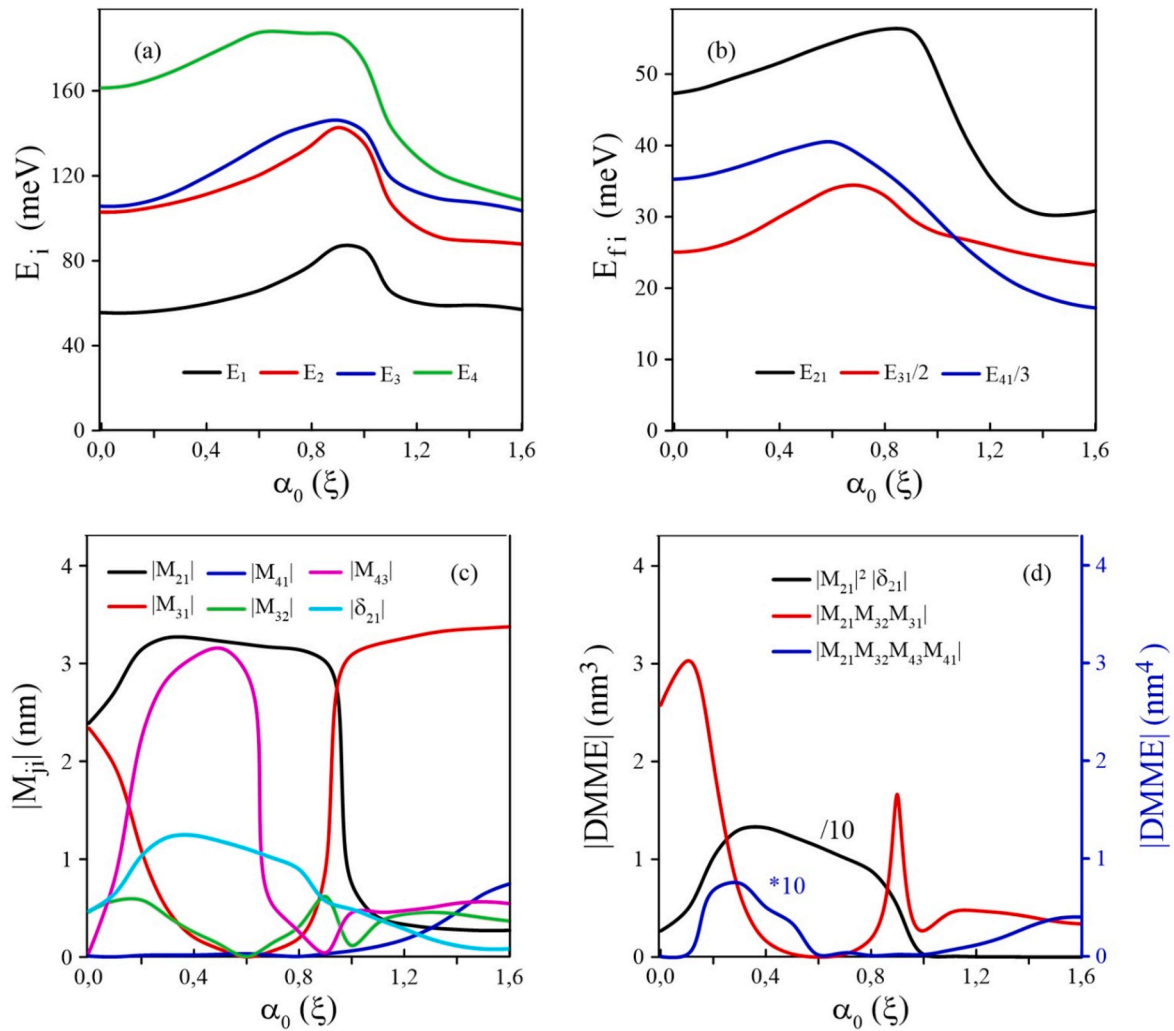


Fig. 3. Square wave functions of the first four bounded energy states of HQWW for varying ILF under constant EF and MF.

Further increase of ILF ( $\alpha_0 = 1.5\xi$ ) causes double QWW in the x-direction and potential height decreases. The localization of all the states happens in a wider area of the QWW region with a lower amplitude of probability as shown in Fig. 3f.

Fig. 4a shows the variation of energy eigenvalues for varying ILF under applied constant EF and MF. All eigenvalues increase up to  $0.9\xi$ , further increase in ILF causes lower energies. It is seen that the second and third states show degeneracy for zero ILF. After ILF of  $1.5\xi$ , the top





**Fig. 4.** a) Variation of energy eigenvalues, b) transition energies, c)  $|M_{ji}|$ , d) product of  $|M_{ji}|$  ( $|M_{21}|^2|\delta_{21}|$  value is reduced by 10 times and  $|M_{21}M_{32}M_{43}M_{41}|$  value is increased by 10 times) for varying ILF for  $F_x = 30$  kV/cm,  $F_y = 30$  kV/cm and  $B = 10$  T.

of the potential profile is widening through the x-direction due to the polarized light. This results in closer energy states and the second, third, and fourth states become degenerate. In Fig. 4b, it is shown that  $E_{21}$  increases  $0 < \alpha_0 < 0.8\xi$  and decreases  $0.8\xi < \alpha_0 < 1.5\xi$  in the ranges then increases for  $\alpha_0 > 1.5\xi$ . This trend is observed owing to the larger change of the second state than the ground state.  $E_{31}/2$  and  $E_{41}/3$  are the maximum for  $0.7\xi$  and  $0.6\xi$  and takes the same value at  $1.1\xi$  ILF which is the critical point. Variations of the DMME and their products are given in Fig. 4c and d ( $|M_{21}|^2|\delta_{21}|$  value is reduced by 10 times and  $|M_{21}M_{32}M_{43}M_{41}|$  value is increased by 10 times). The DMMEs are changed due to the overlap between the wave functions. DMME magnitudes are reaching the maximum and minimum values for different ILF  $\xi$ . Properties on the DMMEs are associated with the behavior of the electron wave function and the geometric confinement of electrons in QWs. Multiplication of  $|M_{21}|^2|\delta_{21}|$  is maximum at  $0.4\xi$  and suddenly decreases at  $\xi$  value and is always smaller for  $\alpha_0 > \xi$ .  $|M_{21}M_{32}M_{31}|$  have two turning points at  $0.1\xi$  and  $0.9\xi$ . The highest magnitude of  $|M_{21}M_{32}M_{43}M_{41}|$  products is obtained for  $0.3\xi$ . We can say that DMME products give maxima and minima at different points which is important

for NOR, SHG, and THG coefficients. Energy differences and DMMEs also depend on EF, BF and ILF density for various semiconductor device applications.

The NOR, SHG, and THG coefficients are plotted in Figs. 5–7 under applied external fields. These coefficients are negligible and small for zero external fields (see Figs. 5a, 6a and 7a). To trigger and optimize these coefficients, we have applied EF, MF, and ILF respectively. The MF is applied for having four bounded states otherwise only three energy states are bounded in the HQWW for EF and ILF.

When the EF is applied NOR increases dramatically for  $F_x = 30$  kV/cm,  $F_y = 30$  kV/cm (Fig. 5b). The MF has a minor effect on the NOR coefficient as shown in Fig. 5c. To maximize the NOR coefficient, ILF is applied. The magnitude of the NOR coefficient depends on the  $|M_{21}|^2|\delta_{21}|$  as shown earlier in Fig. 4d. Application of the ILF first increases the magnitude of the NOR coefficient by a factor of five (for  $0.5\xi$ ), in agreement with the DMME product, and then causes a drastic decrease as shown in Fig. 5d–f. We think that this is the direct result of the localization position of the wavefunctions due to the deformations in the HQWW shape. The position of the resonance peak of the NOR

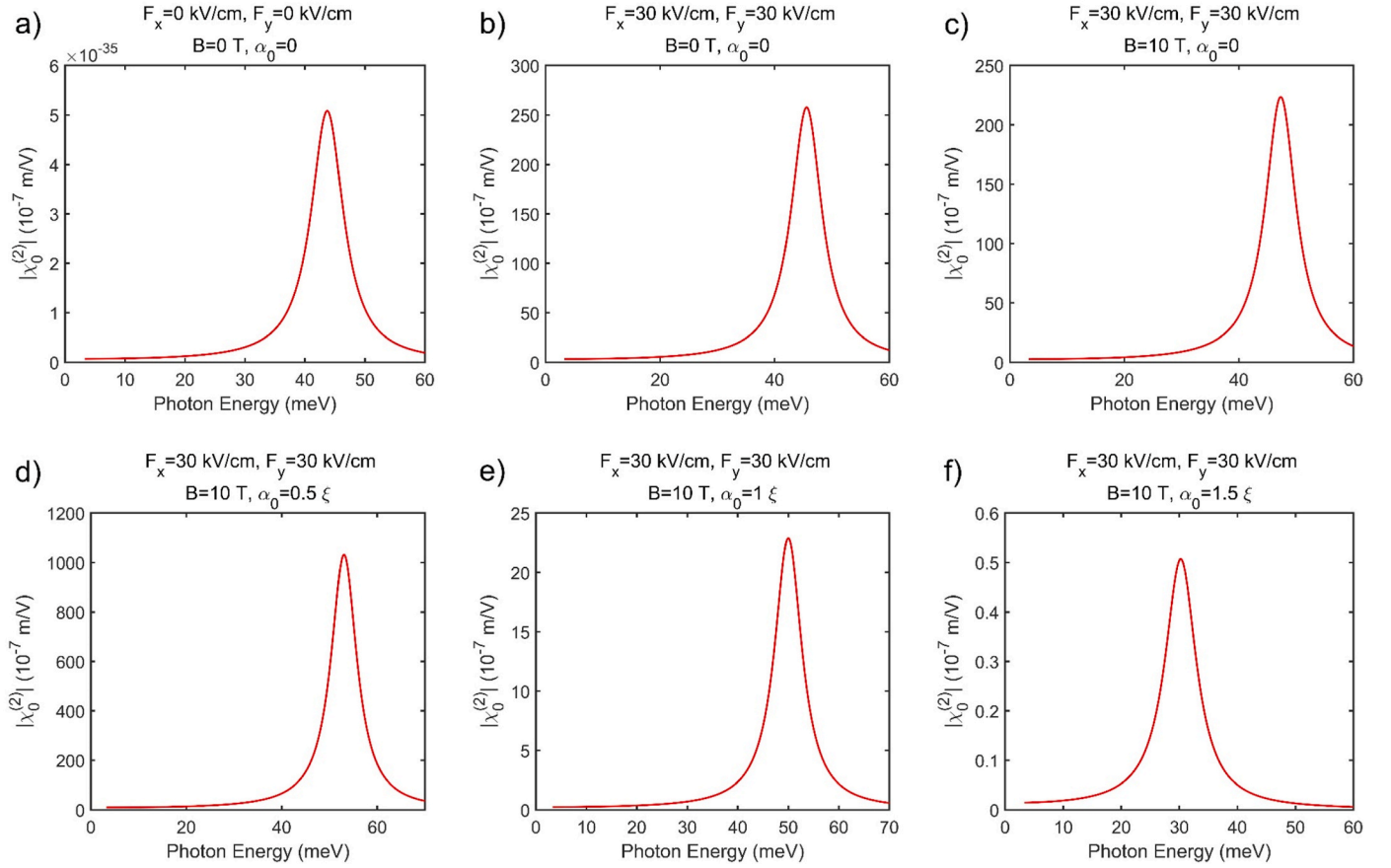


Fig. 5. NOR coefficients with varying ILF for  $F_x = 30$  kV/cm,  $F_y = 30$  kV/cm and  $B = 10$  T.

coefficient is at  $E_{21} \approx \hbar\omega$ . In addition, blue-shifts are observed for  $0 < \alpha_0 < 0.8\xi$  and  $\alpha_0 > 1.5\xi$  ranges and redshift is seen for  $0.8\xi < \alpha_0 < 1.5\xi$  due to the change of  $E_{21}$ .

According to the product of the DMMes ( $|M_{21}M_{32}M_{31}|$ ), the maximum magnitude of the SHG coefficient occurs for  $0.1\xi$  ( $18.4 \times 10^{-7}$  m/V), further increase of ILF causes a sharp decrease in SHG coefficient up to  $0.6\xi$ , then the minor maximum for SHG coefficient happens at  $0.9\xi$  ( $8.64 \times 10^{-7}$  m/V). The maximum SHG coefficient is comparable with the experimental result of Boucaud et al.,  $7.2 \times 10^{-7}$  m/V, for GaAs/AlGaAs quantum well [46]. This corresponds to almost three times higher SHG coefficient for HQWW than bulk GaAs. Furthermore, Fejer et al. measured the SHG coefficient of the GaAs/AlGaAs superlattice as  $0.28 \times 10^{-7}$  m/V which is matching well with their theoretical results [47]. In comparison with their result, HQWW has a lot higher SHG coefficient. We have attributed this to having such a high SHG coefficient with DMMes. The resultant position of the resonance peak is at  $E_{31}/2 \approx \hbar\omega$  (dominant-major peak) and at  $E_{21} \approx \hbar\omega$  (weak-minor peak). The variation of the  $E_{21}$  is the same as in NOR coefficients.  $E_{31}/2$  shows blue-shift up to  $0.7\xi$  then red-shift is seen for higher ILF values. The maximum distance between major and minor peaks are observed for  $0.9\xi$  (Fig. 6e) and the minimum distance is seen for  $1.4\xi$  (Fig. 6f).

The maxima of the product of the DMMes occurs for  $0.3\xi$  as  $6.2 \times 10^{-15}$  m<sup>2</sup>/V<sup>2</sup> but also there are minor maximum which is obtained for  $0.7\xi$ . In addition to maximums, we have observed local minimum while the bottom of the HQWW shrunk ( $0.6\xi$ ) and start expanding ( $0.8\xi$ ) with the effect of ILF. Therefore, the maximum peaks of the THG co-

efficients change depending on the DMMes' products. The location of the resonance peak is at  $E_{41}/3 \approx \hbar\omega$  (dominant-major peak),  $E_{31}/2 \approx \hbar\omega$  (middle peak), and at  $E_{21} \approx \hbar\omega$  (very weak-minor peak). The change of  $E_{21}$  and  $E_{31}/2$  are the same as SHG.  $E_{41}/3$  shows a blue shift up to an ILF value of  $0.7\xi$  then a red-shift is observed for the rest of the ILF values. The position of the major, middle, and minor peaks in the THG coefficient is the same up to  $1.1\xi$  but after the  $1.1\xi$  ILF value, the position of the major and middle peaks are replaced. This is in line with the energy differences given in Fig. 4b.

#### 4. Conclusion

We have studied the nonlinear optical properties of the HQWW. We have aimed to trigger and optimize HHGs. To do this the constant EF and MF, varying ILF are applied to the HQWW which is made of GaAs/AlGaAs. We have simulated the potential profiles under applied external fields, and it is seen that the bottom of the HQWW is shrinking up to  $\xi$  and it turns into a double quantum well wire for ILF over  $\xi$ . The position and magnitude of NOR, SHG, and THG coefficients are dependent on the external fields. These are the results of the variation of the DMMes and the energy difference which are causing red and blue shifts in the optical spectrum. The SHG and THG coefficients are calculated as  $18.4 \times 10^{-7}$  m/V and  $6.2 \times 10^{-15}$  m<sup>2</sup>/V<sup>2</sup>. SHG coefficient is three times and a lot higher than bulk GaAs and GaAs/AlGaAs superlattice as compared to the values in the literature, respectively. In conclusion, we have shown the effect of the external fields which trigger the HHGs in the HQWW and physical results pointed out the possibility of using HQWW in HHGs semiconductor devices.

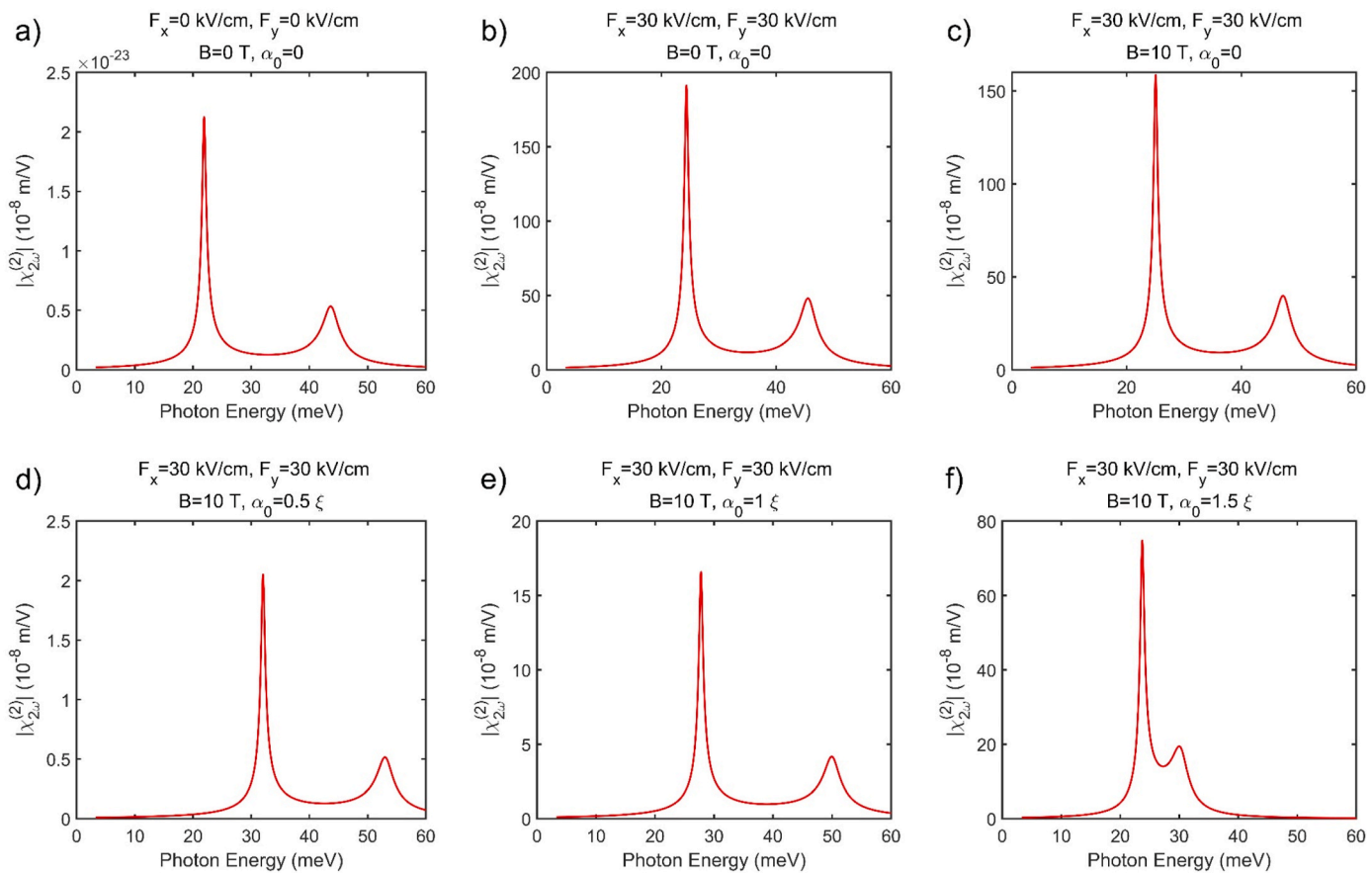


Fig. 6. SHG coefficients with varying ILF for  $F_x = 30$  kV/cm,  $F_y = 30$  kV/cm and  $B = 10$  T.

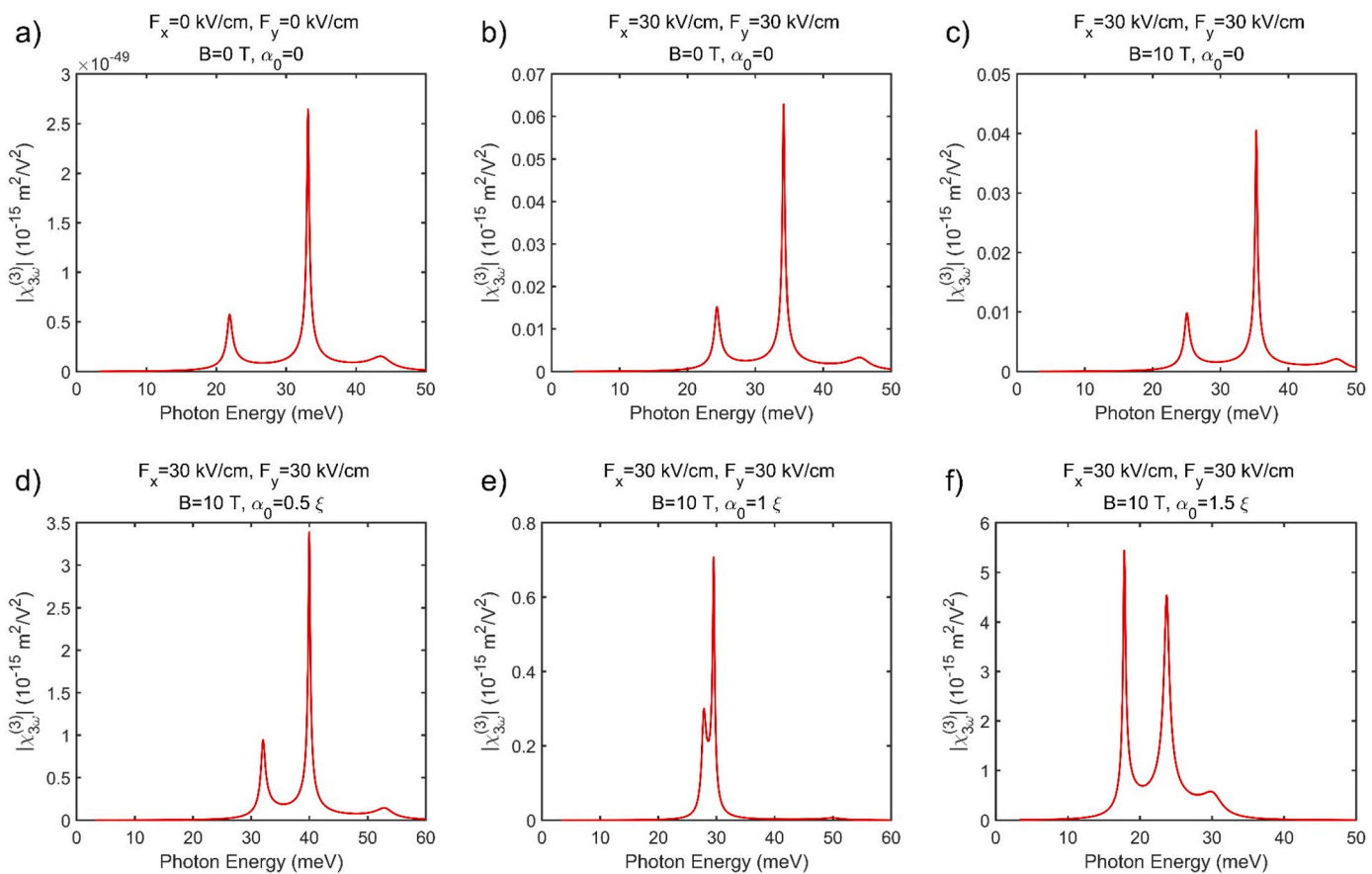


Fig. 7. THG coefficients with varying ILF for  $F_x = 30$  kV/cm,  $F_y = 30$  kV/cm and  $B = 10$  T.



### Credit author statement

Asso. Prof. Dr. Behçet Özgür Alaydin contributed throughout whole the manuscript. Assi. Prof. Dr. Didem Altun and PhD candidate Ozan Ozturk contributed to the introduction, data acquisition, graphic drawings, and article writing. Prof. Dr. Emine Ozturk was responsible about whole manuscript parts.

### Declaration of competing interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

### Data availability

The data that has been used is confidential.

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